APPLICATION CHECKLIST

AUCTIONEER SPECIAL REGULATORY APPLICATION

GLENDALE CITY CODE CHAPTER 21

(EVERY ITEM MUST BE COMPLETE BEFORE APPLICATION AND FEES CAN BE ACCEPTED)

- □ Two Fingerprint Cards obtained from an independent fingerprint agency
- □ Completed and Signed Applications:

*Business license application *Special Regulatory Application

- □ Photo Identification
- □ License Eligibility Form (If Sole Proprietor)
- Signed Acknowledgement Statement
- □ Signed Fingerprint Privacy Rights Notice
- □ List of Items to be Auctioned

One of the following:

Trade Name Registration Articles of Incorporation Articles of Organization Partnership Agreement

Fees Due:

Application fee - \$70.00 License fee - \$80.00 (Pro-rated quarterly) Fingerprint processing fee - \$30.00

Application packet is processed by:

- 1. Tax and Licensing Division
- 2. Police Department
- 3. Planning and Zoning Department
- 4. Approval/Denial Timeframe is approximately 6-8 weeks

Upon denial the Finance Department shall deny the application if any of the requirements have not been met. In the event of denial the applicant will be notified by mail of the denial and the reason. The applicant may appeal such denial pursuant to the provisions of the code.



Licensing Office 5850 West Glendale Avenue Glendale, Az 85301-2599 623-930-3190 Email: taxlic@glendaleaz.com

Business License #:_____

BUSINESS LICENSE APPLICATION

ALL LICENSE APPLICATION FEES ARE <u>NON-REFUNDABLE</u>LATE FEES APPLY IF NOT REGISTERED WITHIN 30 DAYS OF BUSINESS START DATE— INCOMPLETE APPLICATION PACKETS MAY RESULT IN A DELAY IN PROCESSING OR DENIAL OF THIS APPLICATION.

Section I. APPLICATIC	ON TYPE - CHECK /	ALL THAT APPLIES					
New Business [regulatory Devent/Sta Location		eral Exemption Certificate ew Owner of Existing Busin			Previous City Li	cense (if applicable):	
Section II. BUSINESS I	NFORMATION-	Verify that your business	is located in Glend	ale <u>https://w</u>	ww.aztaxes.gov/	AddressLookup/Index/	
Business Name (Comp	oany, DBA, or Indi	vidual; first name, last na	me):		Legal Entity Nai	me (Corporation, LLC, etc.):	
Physical Business Stre	et Address (i.e. c	ommercial property, retai	il store, restaurant-	– <u>NO</u> P.O. Box	or PMB addresse	25):	
City, State, Zip Code (,			Business Pl	hone #:(10 digits)		
Glendale Business Sta	endale Business Start Date: E-Mail Address:						
		AZ State TPT License #:			isiness Tax I.D IN/FEIN/SSN/TIN)#:		
Section III. MAILING							
	nt from Section II	above) or "Care of" Nam	e:				
Mailing Address:							
City, State, Country, Z	ip Code (+4):			Phone # (10 digits):		
Section IV. BUSINESS	OWNERSHIP-m	ust complete the Owners	ship Supplemental	Form			
Sole/Individual Partnership Trust LLC Corp./Inc., State							
Owner/Officer Name:	Owner/Officer Name: Title:						
Home Address:							
City, State, Zip Code (·	ity, State, Zip Code (+4): Phone # (10 digits):						
Owner/Officer Name:	Dwner/Officer Name: Title:						
Home Address:					SSN #:		
City, State, Zip Code (·	+4):				Phone #:		
Section V. BUSINESS	TYPE—check all t	hat apply and provide a d	detailed description	n of your busi	ness		
Do you sell Liquor?	🗆 Retail	🗌 Restaurant	•		🗌 Retail Foo		
Yes 🗆 No	Advertising	🗌 Job Printin	-	sement		Property Rental	
Reporting Method:			al Rental Property		🗌 Contracti	•	
Cash 🗌 Accrual						no AZ nexus)	
		r/Spec. Sale	U Other				
Describe Nature of Bu							
		IISE STATUS—must comp	lete applicable Bus	iness Premise	e Supplemental o		
Do you own the Busin	ess Premises?	🗆 Yes 🛛 No				Is this a Home-Based Business?	
Do you lease the Business Premises?							
	If Yes, indicate legal Owner of property manager name and address If yes, you MUST complete the Home Occupation Occupation Questionnaire						
		•				-	
I certify that the statements the condition that I report tir application can be used for c address on this application, t	made in this application nely and pay any and al ollection purposes and he City of Glendale or a	istration. Please remit all fees wit a are true and complete to the bes Il privilege taxes to the Arizona De may become public information. I iny collection agencies contracted AW, I MAY BE LIABLE FOR ANY U	st of my knowledge. I acce partment of Revenue due specifically understand a by the City can use this co	pt the license aut to the City of Gle nd acknowledge th ontact information	horized and issued in re ndale. I understand tha hat if I provide a cellula n for collection purpose	esponse to this application with It the information I provide on this r telephone number and email	
Printed Name:			Signature:				
Title (Owner/Officer/	Statutory Agent):						
Date:			Phone:				



City of Glendale-Licensing Office 5850 West Glendale Avenue Glendale, Arizona 85301-2599 623-930-3190 Email: <u>taxlic@glendaleaz.com</u>

Business License #:_____

SPECIAL REGULATORY INDIVIDUAL LICENSE **APPLICATION AND QUESTIONNAIRE**

IMPORTANT NOTE—AGE REQUIREMENTS

YOU MUST BE AS LEAST 18 YEARS OF AGE AT THE TIME OF THE APPLICATION TO OBTAIN A LICENSE.

This application must be filed and a license obtained before you can lawfully engage in any business in Glendale. The application and fingerprinting fees are nonrefundable. Any license issue is non-transferable between persons or locations

					iocations.		
SECTION I. APPI	LICANT INFORMATIC	N					
First Name:		Last Name:			M.I:	Date of Birth:	
Address:						SSN #:	
City, State, Zip Coc	de (+4):					Phone #	<i>t</i> :
Government Issue	I.D #:	Туре:	Email:			Sex:	
Age:	Weight:	Height:	Color of Eyes:			Color of	Hair:
list ALL prior char Failure to disclose	en convicted of a felony rges, indictments or co e this information will b plication for an Owner/	nvictions, including <u>e grounds for deni</u> t	g applicable juriso al of this license,	dictions <i>you will</i>	and dates. not be allowed		Yes No
revoked, denied, r suspending such	Have you ever had an adult oriented business license or any special regulatory license or permit Image: Yes revoked, denied, rejected or suspended? If YES, please list all jurisdictions denying, revoking or Image: Yes suspending such license or permit and the dates and reasons on a separate sheet of paper and Image: No attach to this application. Failure to disclose this information will be grounds for denial of this license. Image: No						
SECTION II. PRE	EVIOUS HOME ADDR						
	Plea	se list all home a	ddress during t	he last	5 years:		
Home Address #1:						Date Mo	ved:
Home Address #2:						Date Mo	ved:
Home Address #3:						Date Mo	ved:
Home Address #4:						Date Mo	ved:
Home Address #5:						Date Mo	ved:
SECTION III. EM	PLOYMENT HISTOR						
	Please list y	our complete em	ployment histo	ry for th	ne last 5 years:	[
Employer Name:				From:		To:	
Employer Name:				From:		To:	
Employer Name:				From:		To:	
Employer Name:				From:		To:	
Thi	is license will be utili	zed at the followi	ng place(s) of e	mployn	nent in the City	of Glenc	lale:
Employer Name:			Employer Add	dress:			

SECTION IV. LICENSE AND PERMIT INFORMATION					
Please list licenses/permits issued to you relating to a Special Regulatory or an Adult Business License:					
License:	Jurisc	liction:	Date:		
License:		liction:	Date:		
IMPORTANT NOTE TO BUS	SINESS OF	PERATORS WITH FACILITIES IN GLEN	IDALE		
Include a sketch of diagram with your application showing the configuration of the proposed business premises, including a statement of total floor space. The sketch or diagram should be oriented to the north or to some designated street or object. To the extent applicable, show the location of, rest rooms, general patron areas, clearly designated areas in which patrons are not permitted, show the location of all manager's stations, viewing rooms, video cameras and monitors installed for monitoring purposes, stage areas, and any other distinct rooms or areas on the premises. The sketch or diagram shall be drawn to a designated scale or drawn with marked dimensions of the interior of the premises to an accuracy of plus or minus one foot. The premises are subject to inspection by the City for all information contained in this application.					
IMPO	ORTANT	NOTE TO APPLICANT			
complied with all statues, ordinances, a The issuance of a license by the City of Glend activity in violation or any other law or regu authorized and issued to response to this incomplete forms may delay processing. Fa telephone number may be viewed as your regu require yo By entering your email address, you are act Glendale regarding your account as well as	y the statements made in this application are true and complete to the best of my knowledge. I have read an omplied with all statues, ordinances, and other requirements affecting public peace, health, and safety. suance of a license by the City of Glendale shall in no way be construed as permission to operate a busines rity in violation or any other law or regulation to which such activity by may be subject. I accept the license horized and issued to response to this application. I understand the application fee is non-refundable and uplete forms may delay processing. Failure to provide current information in regards to your address and/or one number may be viewed as your request have this application withdrawn. Any withdrawl of application w require you to re-apply and pay any new fees.				
Print Name:	Title (Owner/	Officer/Statutory Agent):			
Signature:		Phone #:	Date:		



LICENSE ELIGIBILITY FORM

Account

This form must be completed by sole proprietorships <u>only</u>. If the sole proprietorship is a married couple, both spouses must complete a form. This is required under House Bill 2745 which was signed into law on May 1, 2008.

Please CHECK THE BOX next to the document indicating lawful presence, SIGN AND RETURN THIS FORM with a COPY OF THE DOCUMENT chosen.

An Arizona driver license issued after 1996 or an Arizona non-operating identification license.
A driver license issued by a state that verifies lawful presence in the United States.
A birth certificate or delayed birth certificate issued in any state, territory or possession of the United States.
A United States certificate of birth abroad.
A United States passport.
A foreign passport with a United States visa.
An I-94 form with a photograph.
 A United States citizenship and immigration services employment authorization document or refugee travel document.
A United States certificate of naturalization.
A United States certificate of citizenship.
A tribal certificate of Indian blood.
A tribal or bureau of Indian affairs affidavit of birth.

This provision does not apply to an individual, if **all** of the following apply:

1. The individual is a citizen of a foreign country or, if at the time of application, the individual resides in a foreign country <u>and</u>;

2. The benefits that are related to the license do not require the individual to be present in the United States in order to receive those benefits.

Signature of Applicant

Printed Name of Applicant

Date

Signature of Municipal Employee

Date

Legal Arizona Workers Act Compliance Guidelines House Bill 2745 Chapter 152

Tax & License Division

5850 West Glendale Avenue Glendale, Arizona 85301-2599 (623) 930-3190



I, ______, have reviewed a copy of Glendale City Code, Chapter 21 Licenses, taxation and miscellaneous business regulations. I acknowledge that as the owner of the business, I am fully responsible for ensuring that all provisions of Chapter 21 are enforced at all times. I understand that failure to comply with Chapter 21 may result in revocation or suspension of my license. Non-compliance of any provision of Chapter 21 may also result in criminal charges as indicated.

Print name

Signature

Date



FBI Notification of Applicant Privacy Rights

Dear Applicant,

Your fingerprints will be used to check the criminal history records of the FBI.

If you have a criminal history record, the officials making a determination of your suitability for the special regulatory license must provide you the opportunity to complete or challenge the accuracy of the information in the record. You will be afforded 10 days to correct or complete the record (or decline to do so) before officials deny you the special regulatory license based on information in the criminal history record.

The procedures for obtaining a change, correction, or updating of your FBI criminal history record are set forth in Title 28, Code of Federal Regulations (CFR), Section 16.30 through 16.34. Information on how to review and challenge your FBI criminal history record can be found at <u>www.fbi.gov</u> under "Services" then "Identity History Summary Checks" or by calling (304) 625-5590.

To obtain a copy of your Arizona criminal history in order to review/update/correct the record, you can contact the Arizona Department of Public Safety Criminal History Records Unit at (602) 223-2222 to obtain a fingerprint card and a Review and Challenge packet. Information on the review and challenge process can be found on the DPS website (www.dps.gov).

Name (Print): ______

Signature:_____

Date: _____



FINGERPRINTING INFORMATION/VERIFICATION FORM

The following instructions are intended to help you and your chosen fingerprinting agency expedite the licensing process:

- 1. You will need to take a copy of your application to an independent fingerprinting agency or to your local law enforcement agency. **PLEASE CALL THE AGENCY AHEAD** to be sure they do fingerprinting. Not all law enforcement agencies offer this service.
- 2. The fingerprinting agency will keep the application, take a copy of your government issued photo ID to verify your identity and take two (2) sets of fingerprints.
- 3. You may go to any outside agency to be fingerprinted; these are a few suggestions. Contact the agency for fees and hours available.

Glendale City HallArizona Livescan5850 W Glendale Ave (1st Floor)Various locationsMondays 9:00 am - 11:00 am & 1:00 pm - 4:00 pm(602) 246-3444(623) 930-3190

ATTENTION FINGERPRINT TECHNICIAN:

Please follow the instructions below for fingerprinting this applicant.

- 1. Please fill out or ensure that the applicant has filled out all the required boxes on the fingerprint card prior to taking the fingerprints.
- 2. Request a valid, unexpired government-issued photo ID from the applicant and compare the physical descriptors on the applicant's photo ID to the applicant and to the information on the fingerprint card.
- 3. Fill out the information in the boxes below. Please print clearly.
- 4. Once the prints have been taken, place the fingerprint card and this form into the envelope and seal it. Please write your name or identification across the edge of the seal. Return the sealed envelope to the applicant. *Do not give the applicant the fingerprint card without first sealing it inside the envelope*.

PRINT the following information:

Date	Name of Applicant	
Name of Finger	print Technician (PRINT):	
Fingerprint Tec	hnician's Agency/Company Name	
Type of Photo	D provided (check one):	
Drive	er's License/MVD Issued ID	Other (Please specify)
Pass	port	

Privacy Act Statement

This privacy act statement is located on the back of the **FD-258** fingerprint card.

Authority: The FBI's acquisition, preservation, and exchange of fingerprints and associated information is generally authorized under 28 U.S.C. 534. Depending on the nature of your application, supplemental authorities include Federal statutes, State statutes pursuant to Pub. L. 92-544, Presidential Executive Orders, and federal regulations. Providing your fingerprints and associated information is voluntary; however, failure to do so may affect completion or approval of your application.

Principal Purpose: Certain determinations, such as employment, licensing, and security clearances, may be predicated on fingerprint-based background checks. Your fingerprints and associated information/biometrics may be provided to the employing, investigating, or otherwise responsible agency, and/or the FBI for the purpose of comparing your fingerprints to other fingerprints in the FBI's Next Generation Identification (NGI) system or its successor systems (including civil, criminal, and latent fingerprint repositories) or other available records of the employing, investigating, or otherwise responsible agency. The FBI may retain your fingerprints and associated information/biometrics in NGI after the completion of this application and, while retained, your fingerprints may continue to be compared against other fingerprints submitted to or retained by NGI.

Routine Uses: During the processing of this application and for as long thereafter as your fingerprints and associated information/biometrics are retained in NGI, your information may be disclosed pursuant to your consent, and may be disclosed without your consent as permitted by the Privacy Act of 1974 and all applicable Routine Uses as may be published at any time in the Federal Register, including the Routine Uses for the NGI system and the FBI's Blanket Routine Uses. Routine uses include, but are not limited to, disclosures to: employing, governmental or authorized non-governmental agencies responsible for employment, contracting, licensing, security clearances, and other suitability determinations; local, state, tribal, or federal law enforcement agencies; criminal justice agencies; and agencies responsible for national security or public safety.

As of 03/30/2018

See Page 2 for Spanish translation.

Declaración de la Ley de Privacidad

Esta declaración de la ley de privacidad se encuentra al dorso del <u>FD-258 tarjeta de huellas digitales</u>.

Autoridad: La adquisición, preservación, e intercambio de huellas digitales e información relevante por el FBI es autorizada en general bajo la 28 U.S.C. 534. Dependiendo de la naturaleza de su solicitud, la autoridad incluye estatutos federales, estatutos estatales de acuerdo con la Pub. L. 92-544, Órdenes Ejecutivas Presidenciales, y reglamentos federales. El proveer sus huellas digitales e información relevante es voluntario; sin embargo, la falta de hacerlo podría afectar la terminación o aprobación de su solicitud.

Propósito Principal: Ciertas determinaciones, tal como empleo, licencias, y autorizaciones de seguridad, podrían depender de las investigaciones de antecedentes basados en huellas digitales. Se les podría proveer sus huellas digitales e información relevante/ biométrica a la agencia empleadora, investigadora, o responsable de alguna manera, y/o al FBI con el propósito de comparar sus huellas digitales con otras huellas digitales encontradas en el sistema Next Generation Identification (NGI) del FBI, o su sistema sucesor (incluyendo los depósitos de huellas digitales latentes, criminales, y civiles) u otros registros disponibles de la agencia empleadora, investigadora, o responsable de alguna manera. El FBI podría retener sus huellas digitales e información relevante/biométrica en el NGI después de terminar esta solicitud y, mientras las mantengan, sus huellas digitales podrían continuar siendo comparadas con otras huellas digitales presentadas a o mantenidas por el NGI.

Usos Rutinarios: Durante el procesamiento de esta solicitud y mientras que sus huellas digitales e información relevante/biométrica permanezcan en el NGI, se podría divulgar su información de acuerdo a su consentimiento, y se podría divulgar sin su consentimiento de acuerdo a lo permitido por la Ley de Privacidad de 1974 y todos los Usos Rutinarios aplicables según puedan ser publicados en el Registro Federal, incluyendo los Usos Rutinarios para el sistema NGI y los Usos Rutinarios Generales del FBI. Los usos rutinarios incluyen, pero no se limitan a divulgación a: agencias empleadoras gubernamentales y no gubernamentales autorizadas responsables por emplear, contratar, licenciar, autorizaciones de seguridad, y otras determinaciones de aptitud; agencias de la ley locales, estatales, tribales, o federales; agencies de justicia penal; y agencias responsables por la seguridad nacional o seguridad pública.

A partir de 30/03/2018